#### VERMONT ACO MONITORING AND EVALUATION MEASURE SET NARRATIVE SPECIFICATIONS Draft as of August 28, 2014

M&E-1 (NCQA HEDIS; NQF# 0036): Appropriate Medications for People with Asthma

Programs Requiring Us	se of the Measure for 2014:	
Commercial:X	Medicaid:X N	Medicare:
Measure Type:		
Claims: _X_ Cl	inical data: Survey: _	Other (specify):
Measure Purpose for 20	014 (Commercial and Medic	aid Only):
Monitoring: _X_	Evaluation:	
Level of Measurement	for 2014:	
ACO Level:	Plan Level:X	State Level:
Name and date of spec HEDIS® 2015 Technical	<b>ifications used:</b> Specifications for Health Pla	ans (Volume 2)
URL of Specifications:	n/a	
<b>DESCRIPTION:</b> The m	easure assesses the percenta	ge of members 5-64 years of age during

**<u>DESCRIPTION</u>**: The measure assesses the percentage of members 5-64 years of age during the measurement year who were identified as having moderate to severe persistent asthma and who were appropriately prescribed medication during the measurement year.

#### **FREOUENCY OF REPORTING:** Annual

#### **DENOMINATOR:**

All health plan members 5–64 years of age during the measurement year who were identified as having moderate to severe persistent asthma.

#### **NUMERATOR:**

The number of members who were dispensed at least one prescription for a preferred therapy during the measurement year.

#### **EXCLUSIONS:**

Exclude any members who had at least one encounter, in any setting, with any code to identify a diagnosis of emphysema, COPD, cystic fibrosis, or acute respiratory failure (Table ASM-E) any time on or prior to December 31 of the measurement year.

**LOOK BACK PERIOD**: Measurement year and the year prior to the measurement year.

<u>CONTINUOUS ENROLLMENT</u>: The measurement year and the year prior to the measurement year. No more than one gap in enrollment of up to 45 days during each year of continuous enrollment. To determine continuous enrollment for a Medicaid beneficiary for whom enrollment is verified monthly, the member may not have more than a 1-month gap in coverage during each year of continuous enrollment year.

**MEASURE DETAILS:** See HEDIS® 2015 Technical Specifications for Health Plans (Volume 2) for details.

M&E-2 (NCQA HEDIS; NQF# 0055): Comprehensive Diabetes Care: Eye Exams for Diabetics

Programs Requiring Use of the Measure for 2014:
Commercial:X Medicaid:X Medicare:
Measure Type:
Claims:X_ Clinical data: Survey: Other (specify):
Measure Purpose for 2014 (Commercial and Medicaid Only):
Monitoring:X Evaluation:
Level of Measurement for 2014:
ACO Level: State Level:
Name and date of specifications used: HEDIS® 2015 Technical Specifications for Health Plans (Volume 2)
URL of Specifications: n/a
<b><u>DESCRIPTION:</u></b> The percentage of members 18-75 years of age with diabetes (type 1 and type 2) who received a retinal or dilated eye exam during the measurement year or a negative retinal or dilated eye exam in the year prior to the measurement year.
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#### **FREOUENCY OF REPORTING:** Annual

#### **DENOMINATOR:**

Members 18-75 years of age by the end of the measurement year who had a diagnosis of diabetes (type 1 or type 2) during the measurement year or the year prior to the measurement year.

#### **NUMERATOR:**

Members who received an eye screening for diabetic retinal disease. This includes diabetics who had the following:

- A retinal or dilated eye exam by an eye care professional (optometrist or ophthalmologist) in the measurement year

OR

- A negative retinal exam or dilated eye exam (negative for retinopathy) by an eye care professional in the year prior to the measurement year.

For exams performed in the year prior to the measurement year, a result must be available.

#### **EXCLUSIONS:**

Exclude members with a diagnosis of polycystic ovaries who did not have a face-to-face encounter, in any setting, with a diagnosis of diabetes during the measurement year or the year prior to the measurement year. Diagnosis may occur at any time in the member's history, but must have occurred by the end of the measurement year.

Exclude members with gestational or steroid-induced diabetes who did not have a face-to-face encounter, in any setting, with a diagnosis of diabetes during the measurement year or the year prior to the measurement year. Diagnosis may occur during the measurement year or the year prior to the measurement year, but must have occurred by the end of the measurement year.

**LOOK BACK PERIOD:** For the numerator, the measurement year. Members may be identified as having diabetes during the measurement year or the year prior to the measurement year.

<u>CONTINUOUS ENROLLMENT</u>: Measurement year. No more than one gap in enrollment of up to 45 days during the measurement year. To determine continuous enrollment for a Medicaid beneficiary for whom enrollment is verified monthly, the member may not have more than a 1-month gap in coverage (i.e., a member whose coverage lapses for 2 months [60 days] is not considered continuously enrolled).

**MEASURE DETAILS:** See HEDIS® 2015 Technical Specifications for Health Plans (Volume 2) for details.

M&E-3 (NCQA HEDIS; NQF# 0062): Comprehensive Diabetes Care: Medical Attention for Nephropathy

Programs Requiring Use of the Measure for 2014:
Commercial:X Medicaid:X Medicare:
Measure Type:
Claims:X Clinical data: Survey: Other (specify):
Measure Purpose for 2014 (Commercial and Medicaid Only):
Monitoring:X Evaluation:
Level of Measurement for 2014:
ACO Level: State Level:
Name and date of specifications used: HEDIS® 2015 Technical Specifications for Health Plans (Volume 2)
URL of Specifications: n/a
<u><b>DESCRIPTION:</b></u> The percentage of members 18-75 years of age with diabetes (type 1 and type 2) who received a nephropathy screening test or had evidence of nephropathy during the measurement year.
FREQUENCY OF REPORTING: Annual
<b>DENOMINATOR:</b> Members 18-75 years of age by the end of the measurement year who had a diagnosis of diabetes (type 1 or type 2) during the measurement year or the year prior to the measurement year.
NUMERATOR: Members who received a nephropathy screening test or had evidence of nephropathy during

#### **EXCLUSIONS:**

the measurement year.

Exclude members with a diagnosis of polycystic ovaries who did not have a face-to-face encounter, in any setting, with a diagnosis of diabetes during the measurement year or the

year prior to the measurement year. Diagnosis may occur at any time in the member's history, but must have occurred by the end of the measurement year.

Exclude members with gestational or steroid-induced diabetes who did not have a face-to-face encounter, in any setting, with a diagnosis of diabetes during the measurement year or the year prior to the measurement year. Diagnosis may occur during the measurement year or the year prior to the measurement year, but must have occurred by the end of the measurement year.

**LOOK BACK PERIOD:** For the numerator, the measurement year. Members may be identified as having diabetes during the measurement year or the year prior to the measurement year.

<u>CONTINUOUS ENROLLMENT</u>: Measurement year. No more than one gap in enrollment of up to 45 days during the measurement year. To determine continuous enrollment for a Medicaid beneficiary for whom enrollment is verified monthly, the member may not have more than a 1-month gap in coverage (i.e., a member whose coverage lapses for 2 months [60 days] is not considered continuously enrolled).

**MEASURE DETAILS:** See HEDIS® 2015 Technical Specifications for Health Plans (Volume 2) for details.

M&E-4 (NCQA HEDIS; NQF# 0577): Use of Spirometry Testing in the Assessment and Diagnosis of COPD

Programs Requiring Use of the Measure for 2014:
Commercial:X Medicaid:X Medicare:
Measure Type:
Claims:X_ Clinical data: Survey: Other (specify):
Measure Purpose for 2014 (Commercial and Medicaid Only):
Monitoring:X Evaluation:
Level of Measurement for 2014:
ACO Level: State Level:
Name and date of specifications used: HEDIS® 2015 Technical Specifications for Health Plans (Volume 2)
URL of Specifications: n/a
<b>DESCRIPTION:</b> This measure assesses the percentage of members 40 years of age and older with a new diagnosis of COPD or newly active COPD, who received appropriate spirometry testing to confirm the diagnosis.
FREQUENCY OF REPORTING: Annual
<u>DENOMINATOR:</u> Any health plan member 42 years or older as of December 31 of the measurement year, who had a diagnosis of COPD during the Intake Period.

#### **NUMERATOR:**

The measure looks at the number of health plan members whose initial diagnosis of COPD is being confirmed using spirometry.

#### **EXCLUSIONS:**

Members are excluded from the denominator if they had a claim/encounter with a COPD diagnosis during the 730 days (2 years) prior to the index episode start date (IESD).

**LOOK BACK PERIOD:** A 12-month window that begins on July 1 of the year prior to the measurement year and ends on June 30 of the measurement year for the Intake Period captures the first COPD diagnosis. The index episode start date is the first date of service for a COPD diagnosis during the intake period. A negative diagnosis history for two years prior to the index episode start date is required to be included in the denominator.

<u>CONTINUOUS ENROLLMENT</u>: 730 days (2 years) prior to the IESD through 180 days (6 months) after the IESD.

**MEASURE DETAILS:** See HEDIS® 2015 Technical Specifications for Health Plans (Volume 2) for details.

M&E-5 (NCQA HEDIS; NQF# 0108): Follow-up Care for Children Prescribed ADHD Medication

Programs Requiring Use of the	ne Measure for 2014:	
Commercial: _X N	ſedicaid:X	Medicare:
Measure Type:		
Claims: _X_ Clinical of	data: Survey:	Other (specify):
Measure Purpose for 2014 (Co	ommercial and Medi	caid Only):
Monitoring:X E	valuation:	
Level of Measurement for 202	14:	
ACO Level: P	lan Level:X	State Level:
Name and date of specifications used: HEDIS® 2015 Technical Specifications for Health Plans (Volume 2)		

**URL of Specifications:** n/a

<u>DESCRIPTION:</u> The percentage of children newly prescribed attention-deficit/hyperactivity disorder (ADHD) medication who had at least three follow-up care visits within a 10-month period, one of which was within 30 days of when the first ADHD medication was dispensed. Two rates are reported.

- Initiation Phase. The percentage of members 6–12 years of age as of the IPSD with an ambulatory prescription dispensed for ADHD medication, who had one follow-up visit with practitioner with prescribing authority during the 30-day Initiation Phase.
- Continuation and Maintenance (C&M) Phase. The percentage of members 6–12 years of age as of the IPSD with an ambulatory prescription dispensed for ADHD medication, who remained on the medication for at least 210 days and who, in addition to the visit in the Initiation Phase, had at least two follow-up visits with a practitioner within 270 days (9 months) after the Initiation Phase ended.

#### **FREQUENCY OF REPORTING:** Annual

#### **DENOMINATOR:**

Initiation Phase: 6-12 years of age (6 as of March 1 of the year prior to the measurement year; 12 years as of February 28 of the measurement year), AND

Medical and pharmacy benefit, AND

Dispensed an ADHD medication during the 12-month Intake Period.

Continuation and Management Phase: Eligible Population (see details)

6-12 years of age (6 as of March 1 of the year prior to the measurement year; 12 years as of February 28 of the measurement year), AND

Medical and pharmacy benefit, AND

Had continuous treatment for at least 210 days out of the 300-day period

#### **NUMERATOR:**

Initiation Phase: One face-to-face outpatient, intensive outpatient or partial hospitalization follow-up visit with a practitioner with prescribing authority, within 30 days after the IPSD. Note: Do not count a visit on the IPSD as the Initiation Phase visit.

C&M Phase: Identify all members who meet the following criteria.

An Initiation Phase Visit in the first 30 days, and

At least two follow-up visits from 31-300 days (10 months) after the IPSD

One of the two visits (during days 31–300) may be a telephone visit with practitioner.

#### **EXCLUSIONS:**

Initiation Phase: Exclude members who had an acute inpatient claim/encounter with a principal diagnosis or DRG for mental health or substance abuse during the 30 days after the IPSD.

Continuation and Management Phase: Exclude members who had an acute inpatient claim/encounter with a principal diagnosis of mental health substance abuse during the 300 days after the IPSD.

Patients diagnosed with narcolepsy (ICD-9-CM Code: 347) should be excluded from the denominators.

**LOOK BACK PERIOD**: The intake period is the 12-month window starting March 1 of the year prior to the measurement year and ending February 28 of the measurement year. The patient must have a negative medication history 120 days before the index prescription start date, which is the earliest prescription during the intake period.

#### **CONTINUOUS ENROLLMENT:**

Initiation Phase: Must be continuously enrolled in the organization for 120 days (4 months) prior to the IPSD through 30 days after the IPSD with no gaps.

Continuation Phase: Must be continuously enrolled in the organization for 120 days (4 months) prior to the IPSD and 300 days (10 months) after the IPSD. Members who switch product lines between the Rate 1 and Rate 2 continuous enrollment periods should only be included in Rate 1. One 45-day gap in enrollment between 31 days and 300 days (10 months) after the IPSD. To determine continuous enrollment for a Medicaid beneficiary for whom enrollment is verified monthly, the member may not have more than a 1-month gap in coverage (i.e., a member whose coverage lapses for 2 months [60 days] is not considered continuously enrolled).

**MEASURE DETAILS**: See HEDIS® 2015 Technical Specifications for Health Plans (Volume 2) for details.

#### M&E-6 (NCQA HEDIS; NQF# 0105): Antidepressant Medication Management

Programs Requiring Use of the Measure for 2014:		
Commercial:X Medicaid:X Medicare:		
Measure Type:		
Claims:X Clinical data: Survey: Other (specify):		
Measure Purpose for 2014 (Commercial and Medicaid Only):		
Monitoring:X Evaluation:		
Level of Measurement for 2014:		
ACO Level: State Level:		
Name and date of specifications used: HEDIS® 2015 Technical Specifications for Health Plans (Volume 2)		
URL of Specifications: n/a		

**<u>DESCRIPTION</u>**: The percentage of members 18 years of age and older who were diagnosed with a new episode of major depression and treated with antidepressant medication, and who remained on an antidepressant medication treatment. Two rates are reported.

- a) Effective Acute Phase Treatment. The percentage of newly diagnosed and treated members who remained on an antidepressant medication for at least 84 days (12 weeks).
- b) Effective Continuation Phase Treatment. The percentage of newly diagnosed and treated members who remained on an antidepressant medication for at least 180 days (6 months).

#### **FREQUENCY OF REPORTING:** Annual

#### **DENOMINATOR:**

Diagnosed with a new episode of major depression and treated with antidepressant medication.

#### **NUMERATOR:**

a) Effective Acute Phase Treatment: At least 84 days (12 weeks) of continuous treatment with antidepressant medication during the 114-day period following the IPSD (inclusive). The continuous treatment allows gaps in medication treatment up to a total of 30 days during the 114-day period. Gaps can include either washout period gaps to change medication or treatment gaps to refill the same medication.

Regardless of the number of gaps, there may be no more than 30 gap days. Count any combination of gaps (e.g., two washout gaps of 15 days each, or two washout gaps of 10 days each and one treatment gap of 10 days).

b) Effective Continuation Phase Treatment: At least 180 days (6 months) of continuous treatment with antidepressant medication (Table AMM-D) during the 231-day period following the IPSD (inclusive). Continuous treatment allows gaps in medication treatment up to a total of 51 days during the 231-day period. Gaps can include either washout period gaps to change medication or treatment gaps to refill the same medication.

Regardless of the number of gaps, gap days may total no more than 51. Count any combination of gaps (e.g., two washout gaps, each 25 days or two washout gaps of 10 days each and one treatment gap of 10 days).

#### **EXCLUSIONS:**

Exclude members who have antidepressant prescriptions filled during the Negative Medication History period 90 days (3 months) prior to the IPSD.

Exclude members who had a claim/encounter for any diagnosis of major depression or prior episodes of depression during the Negative Diagnosis History period during the 120 days (4 months) prior to the IPSD.

**LOOK BACK PERIOD:** The intake period is the 12-month window starting May 1 of the year prior to the measurement year and ending April 30 of the measurement year. The patient must have a negative medication history 105 days before the index prescription start date, which is the earliest prescription during the intake period.

CONTINUOUS ENROLLMENT: 105 days prior to the IPSD through 231 days after the IPSD. One gap in enrollment of up to 45 days is allowed. To determine continuous enrollment for a Medicaid beneficiary for whom enrollment is verified monthly, the member may not have more than a 1-month gap in coverage (i.e., a member whose coverage lapses for 2 months [60 days] is not considered continuously enrolled).

**MEASURE DETAILS:** See HEDIS® 2015 Technical Specifications for Health Plans (Volume 2) for details.

#### M&E-7: Family Evaluation of Hospice Care Survey Questions

Programs Requiring Use of the Measure for 2014:
Commercial:X Medicaid:X Medicare:
Measure Type:
Claims: Clinical data: Survey:X_ Other (specify):
Measure Purpose for 2014 (Commercial and Medicaid Only):
Monitoring:X Evaluation:
Level of Measurement for 2014:
ACO Level: State Level:X_
Name and date of specifications used: 2011 Family Evaluation of Hospice Care (FEHC) Survey
URL of Specifications: www.nhpco.org/fehc-survey-materials

**DESCRIPTION:** The Family Evaluation of Hospice Care (FEHC) is a post-death survey designed to yield actionable information that reflects the quality of hospice care delivery from the perspective of family caregivers. The GMCB's Analytics Contractor will collect this measure information from the Vermont Assembly of Home Health & Hospice Agencies and the Visiting Nurse Association of Vermont and New Hampshire (VNA/VNH). The VNA/VNH will provide Vermont-specific results for their hospice program. Together with the Vermont Assembly of Home Health and Hospice Agencies' results, Analytics Contractor will receive results for all of Vermont's not-for-profit hospice agencies.

#### **FREQUENCY OF REPORTING:** Annual

**<u>DENOMINATOR:</u>** as defined by the Vermont Assembly of Home Health & Hospice Agencies and the VNA/VNH.

**NUMERATOR:** as defined by the Vermont Assembly of Home Health & Hospice Agencies and the VNA/VNH.

**EXCLUSIONS:** as defined by the Vermont Assembly of Home Health & Hospice Agencies and the VNA/VNH.

<u>MEASURE DETAILS:</u> See the National Hospice and Palliative Care Organization (NHPCO) website for more information: <u>www.nhpco.org/performance-measures/family-evaluation-hospice-care-fehc</u>

M&E-8: School Completion Rate		
Programs Requiring Use of the Measure for 2014:		
Commercial:X Medicaid:X Medicare:		
leasure Type:		
Claims: Clinical data: Survey: Other (specify):X		
Measure Purpose for 2014 (Commercial and Medicaid Only):		
Monitoring:X Evaluation:		
evel of Measurement for 2014:		
ACO Level: Plan Level: State Level:X		
Tame and date of specifications used: Four Year-cohort graduation rate as defined by the Termont Agency of Education		
URL of Specifications: <a href="http://education.vermont.gov/documents/EDU-">http://education.vermont.gov/documents/EDU-</a>		
Oata 2010 2011 Dropout and High School Completion.pdf		

**<u>DESCRIPTION:</u>** The Vermont Agency of Education will collect the data, calculate and report the school completion rate results at the state level. The GMCB's Analytics Contractor will collect this measure information from the Vermont Agency of Education.

**FREQUENCY OF REPORTING:** Annual

**DENOMINATOR:** as defined by the Vermont Agency of Education

**NUMERATOR:** as defined by the Vermont Agency of Education

**EXCLUSIONS:** as defined by the Vermont Agency of Education

<u>MEASURE DETAILS:</u> See the Vermont Agency of Education website for more information: <a href="http://education.vermont.gov/data/dropout-and-high-school-completion">http://education.vermont.gov/data/dropout-and-high-school-completion</a>

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**<u>DESCRIPTION</u>**: The Vermont Department of Labor will collect the data, calculate and report the Annual average unemployment rate at the state level. The GMCB's Analytics Contractor will collect this measure information from the Vermont Department of Labor.

Monthly unemployment data is available on the Vermont Department of Labor website (by state, labor market, county, and town) at <a href="https://www.vtlmi.info/unemp.cfm">www.vtlmi.info/unemp.cfm</a>. Annual unemployment information is available by state and county on the Vermont Department of Labor website at <a href="https://www.vtlmi.info/detftp.htm#laus">www.vtlmi.info/detftp.htm#laus</a>.

#### **FREQUENCY OF REPORTING:** Annual

URL of Specifications: www.vtlmi.info/unemp.cfm

**DENOMINATOR:** as defined by the Vermont Department of Labor.

**NUMERATOR:** as defined by the Vermont Department of Labor.

**EXCLUSIONS:** as defined by the Vermont Department of Labor.

<u>MEASURE DETAILS:</u> See the Vermont Department of Labor website for more information: <u>www.vtlmi.info/unemp.cfm</u>.

#### M&E-10: Health Partners TCOC: Total Cost Index (TCI)

1/10/2 20/ 120/11/11 2 / 120/11/20 2 20/ 120/11/20// (1 22)	
Programs Requiring Use of the Measure for 2014:	
Commercial:X Medicaid:X Medicare:	
Measure Type:	
Claims:X_ Clinical data: Survey: Other (specify):	
Measure Purpose for 2014 (Commercial and Medicaid Only):	
Monitoring: Evaluation:X	
Level of Measurement for 2014:	
ACO Level:X_ Plan Level: State Level:	
Name and date of specifications used: HealthPartners technical guidelines updated 10/3/2013.	
URL of Specifications: <a href="https://www.healthpartners.com/ucm/groups/public/@hp/@public/documents/documents/dev_05">www.healthpartners.com/ucm/groups/public/@hp/@public/documents/documents/dev_05</a> <a href="mailto:7425.pdf">7425.pdf</a>	
<b>DESCRIPTION:</b> Health Partners Total Cost of Care Calculation: Total Cost Index. Total Cost of Care (TCOC) is a measure of a primary care provider's risk adjusted cost effectiveness at managing the population they care for. The HealthPartners Total Cost of Care measure is built off of the <b>allowed</b> amounts (all payments to the provider for medical services rendered, all pharmacy payments, plan and member liability). These amounts are subject to a member-level truncation factor of \$100,000 and the amounts are risk adjusted using the Johns Hopkins ACG algorithm.	
FREQUENCY OF REPORTING: Quarterly	
HealthPartners offers two licensing agreements free of charge to external users implementing Total Cost of Care and Resource Use in their organizations. TCOC measures can be used by SAS users and non-SAS users.	
<b>DENOMINATOR:</b> Peer Group Risk-Adjusted PMPM	

**NUMERATOR:** Risk adjusted PMPM = (Total PMPM/Risk Score)

### **EXCLUSIONS:** N/A

**ENROLLMENT REQUIREMENT:** Minimum of 9 months enrollment during performance measurement period; does not have to be continuous.

<u>MEASURE DETAILS:</u> See the Health Partners website for more information: <u>www.healthpartners.com/public/tcoc/toolkit/</u>

#### M&E-11: Health Partners TCOC: Resource Use Index (RUI)

M&E-11: Health Partners TCOC: Resource Use Index (RUI)
Programs Requiring Use of the Measure for 2014:
Commercial:X Medicaid:X Medicare:
Measure Type:
Claims:X_ Clinical data: Survey: Other (specify):
Measure Purpose for 2014 (Commercial and Medicaid Only):
Monitoring: Evaluation:X
Level of Measurement for 2014:
ACO Level:X_ Plan Level: State Level:
Name and date of specifications used: HealthPartners technical guidelines updated 10/3/2013.
URL of Specifications: <a href="https://www.healthpartners.com/ucm/groups/public/@hp/@public/documents/documents/dev_05">www.healthpartners.com/ucm/groups/public/@hp/@public/documents/documents/dev_05</a> 7425.pdf
<b>DESCRIPTION:</b> Health Partners Total Cost of Care Calculation: Resource Use Index. The Resource Use Index is a risk adjusted measure of the frequency and intensity of services utilized to manage a provider's patients. Resource use includes all resources associated with treating members including professional, facility inpatient and outpatient, pharmacy, lab, radiology, ancillary and behavioral health services. Both the TCOC and Resource Use measures are based on a risk adjusted PMPM relative to a specified peer group or benchmark. The resource use measure is the risk adjusted total resources divided by the sum of the member months attributed to the provider. The total resources are the sum of the Total Care Relative Resource Values, which are a standardized price value that acts in the same fashion as a dollar (as

HealthPartners offers two licensing agreements free of charge to external users implementing Total Cost of Care and Resource Use in their organizations. TCOC measures can be used by SAS users and non-SAS users.

**FREQUENCY OF REPORTING:** Quarterly

described in the TCRRV<sup>TM</sup> Methodology).

**DENOMINATOR:** Peer Group Risk-Adjusted Resource Use PMPM

**NUMERATOR:** Risk-Adjusted Resource Use PMPM = (Total Resource PMPM/Risk Score)

**EXCLUSIONS:** N/A

**ENROLLMENT REQUIREMENT:** Minimum of 9 months enrollment during performance measurement period; does not have to be continuous.

<u>MEASURE DETAILS:</u> See the Health Partners website for more information: <u>www.healthpartners.com/public/tcoc/toolkit/.</u>

## M&E-12: Ambulatory Surgery/1000 Programs Requiring Use of the Measure for 2014: Commercial: \_\_X\_\_\_ Medicaid: \_\_X\_\_\_ Medicare: \_\_\_\_\_ Measure Type: Claims: \_\_X\_ Clinical data: \_\_\_ Survey: \_\_\_ Other (specify): \_\_\_\_ Measure Purpose for 2014 (Commercial and Medicaid Only): Evaluation: \_\_X\_\_ Monitoring: \_\_\_\_ Level of Measurement for 2014: ACO Level: \_X\_ Plan Level: \_\_\_\_ State Level: \_\_\_\_ Name and date of specifications used: OneCare Vermont Proposed Utilization Measure Specifications based on: Code Sets Source: Milliman HCG Grouper 2013 version 3 **URL** of Specifications: N/A **DESCRIPTION:** The rate of outpatient ambulatory surgeries. (unique cases / member months) \* 12000

**FREOUENCY OF REPORTING:** Quarterly

**DENOMINATOR:** member months

**NUMERATOR:** unique cases of outpatient ambulatory surgeries

**EXCLUSIONS:** Rolled up to a hierarchy that makes an entire claim one type of OP Claim. Second behind Outpatient Emergency Department (ED) visits.

#### **MEASURE DETAILS:**

#### **CPT Codes:**

between 10021 and 36410 between 36420 and 55920 between 56405 and 58301 between 58340 and 58960 between 59100 and 62365

between 63001 and 69020

between 69100 and 69990

between 92920 and 92944

between 92973 and 92974

between 93451 and 93462

between 93501 and 93533

between 93580 and 93581

between 99141 and 99150

between G0104 and G0105

between G0168 and G0173

between G0289 and G0291

between G0297 and G0305

between G0338 and G0343

between G0392 and G0393

between G0413 and G0419

between G0440 and G0441

between S2053 and S2118

between S2135 and S2152

between S2205 and S2900

59899, G0127, G0251, G0259, G0267, G0269, G0364, G0455, M0301, S0199, S0400, S0601, S9034

#### **Revenue Codes:**

between 360 and 369

481

between 490 and 499

between 750 and 759

between 790 and 799

### M&E-13: Average Number of Prescriptions PMPM

Programs Requiring Use of the Measure for 2014:
Commercial:X Medicaid:X Medicare:
Measure Type:
Claims:X Clinical data: Survey: Other (specify):
Measure Purpose for 2014 (Commercial and Medicaid Only):
Monitoring: Evaluation:X
Level of Measurement for 2014:
ACO Level:X_ Plan Level: State Level:
Name and date of specifications used: OneCare Vermont Proposed Utilization Measure Specifications.
URL of Specifications: N/A
<b><u>DESCRIPTION:</u></b> The rate of prescriptions per member per month.
FREQUENCY OF REPORTING: Quarterly
<b><u>DENOMINATOR:</u></b> member months
NUMERATOR: total prescriptions (in any setting)
EXCLUSIONS: N/A
MEASURE DETAILS: N/A

#### M&E-14: Avoidable ED Visits (NYU algorithm)

Programs Requiring Use of the Measure for 2014:	
Commercial:X Medicaid:X Medicare:	
Measure Type:	
Claims:X_ Clinical data: Survey: Other (specify):	
Measure Purpose for 2014 (Commercial and Medicaid Only):	
Monitoring: Evaluation:X	
Level of Measurement for 2014:	
ACO Level:X_ Plan Level: State Level:	
Name and date of specifications used: OneCare Vermont Proposed Utilization Measure Specifications based on: Version 21 of the NYU ED Algorithm. Code Sets source: Milliman HCG Grouper, 2013 version 3	
VDV 66 16 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1	

URL of Specifications: <a href="http://wagner.nyu.edu/faculty/billings/nyued-download">http://wagner.nyu.edu/faculty/billings/nyued-download</a>

**DESCRIPTION:** With support from the Commonwealth Fund, the Robert Wood Johnson Foundation, and the United Hospital Fund of New York, the NYU Center for Health and Public Service Research has developed an algorithm to help classify ED utilization. The algorithm was developed with the advice of a panel of ED and primary care physicians, and it is based on an examination of a sample of almost 6,000 full ED records. Data abstracted from these records included the initial complaint, presenting symptoms, vital signs, medical history, age, gender, diagnoses, procedures performed, and resources used in the ED.

The NYU Center for Health and Public Service Research has developed software for applying the algorithm using three different software applications: SAS, SPSS, and ACCESS. Detailed instructions on how to use the algorithm are included in Download section of their website. All three applications produce an output data set that adds a new set of variables to your original data set.

**FREQUENCY OF REPORTING:** Quarterly

**DENOMINATOR:** N/A

#### **NUMERATOR:** N/A

#### **EXCLUSIONS:**

#### **MEASURE DETAILS:**

Each case is classified into one of the following categories:

- Non-emergent The patient's initial complaint, presenting symptoms, vital signs, medical history, and age indicated that immediate medical care was not required within 12 hours;
- Emergent/Primary Care Treatable Based on information in the record, treatment was required within 12 hours, but care could have been provided effectively and safely in a primary care setting. The complaint did not require continuous observation, and no procedures were performed or resources used that are not available in a primary care setting (e.g., CT scan or certain lab tests)
- Emergent ED Care Needed Preventable/Avoidable Emergency department care was required based on the complaint or procedures performed/resources used, but the emergent nature of the condition was potentially preventable/avoidable if timely and effective ambulatory care had been received during the episode of illness (e.g., the flare-ups of asthma, diabetes, congestive heart failure, etc.); and
- Emergent ED Care Needed Not Preventable/Avoidable Emergency department care was required and ambulatory care treatment could not have prevented the condition (e.g., trauma, appendicitis, myocardial infarction, etc.).
- Injury
- Mental health diagnosis
- Alcohol-related health principal diagnosis
- Drug-related health principal diagnosis (excluding alcohol).
- Not classified not in one of the above categories

#### **CPT codes:**

between 99281 and 99288 between G0378 and G0384 G0244

#### **Revenue Codes:**

between 450 and 459

See the NYU Center for Health and Public Service Research website for more information: <a href="http://wagner.nyu.edu/faculty/billings/nyued-background.">http://wagner.nyu.edu/faculty/billings/nyued-background.</a>

M&E-15: Ambulatory Care (ED rate only)
Programs Requiring Use of the Measure for 2014:
Commercial:X Medicaid:X Medicare:
Measure Type:
Claims:X Clinical data: Survey: Other (specify):
Measure Purpose for 2014 (Commercial and Medicaid Only):
Monitoring: Evaluation:X
Level of Measurement for 2014:
ACO Level:X_ Plan Level: State Level:
Name and date of specifications used: OneCare Vermont Proposed Utilization Measure Specifications based on: HEDIS® 2015 Technical Specifications for Health Plans (Volume 2), Report ED visit rate only. Code Sets Source: Milliman HCG Grouper 2013 version 3
URL of Specifications: n/a
<b>DESCRIPTION:</b> This measure summarizes utilization of ambulatory care in the following categories: ED Visits. Count each visit to an ED that does not result in an inpatient encounter once, regardless of the intensity or duration of the visit. Count multiple ED visits on the same

date of service as one visit. Identify ED visits using either of the following:

- An ED visit (ED Value Set).
- A procedure code (ED Procedure Code Value Set) with an ED place of service code (ED POS Value Set).

#### **FREQUENCY OF REPORTING:** Quarterly

**DENOMINATOR:** 1,000 Member Months

**NUMERATOR:** ED Visits

**EXCLUSIONS:** The measure does not include mental health or chemical dependency services. Exclude claims and encounters that indicate the encounter was for mental health or chemical dependency (AMB Exclusions Value Set).

### **MEASURE DETAILS:**

### **CPT Codes:**

between 99281 and 99288 between G0378 and G0384 G0244

#### **Revenue Codes:**

between 450 and 459

#### **Place of Service Code:**

23 - Emergency Room - hospital

#### M&E-16: ED Utilization for Ambulatory Care-Sensitive Conditions

Programs Requiring Use of the Measure for 2014:
Commercial:X Medicaid:X Medicare:
Measure Type:
Claims:X_ Clinical data: Survey: Other (specify):
Measure Purpose for 2014 (Commercial and Medicaid Only):
Monitoring: Evaluation:X
Level of Measurement for 2014:
ACO Level:X_ Plan Level: State Level:
Name and date of specifications used: OneCare Vermont Proposed Utilization Measure Specifications based on ahrq.gov Archive Appendix B and Code Sets Source: Milliman HCG Grouper 2013 version 3
URL of Specifications: <a href="http://archive.ahrq.gov/data/safetynet/billappb.htm">http://archive.ahrq.gov/data/safetynet/billappb.htm</a>

**DESCRIPTION:** The number of ED visits for Ambulatory Care-Sensitive Conditions compared to all ED visits. Ambulatory Care Sensitive conditions such as asthma, diabetes or dehydration are hospitalization conditions where timely and effective ambulatory care can decrease hospitalizations by preventing the onset of an illness or conditions, controlling an acute episode of an illness or managing a chronic disease or condition. High rates of Ambulatory Care Sensitive hospitalizations in a community may be an indicator of a lack of or failure of prevention efforts, a primary care resource shortage, poor performance of primary health care delivery systems, or other factors that create barriers to obtaining timely and effective care.

**FREQUENCY OF REPORTING:** Quarterly

**DENOMINATOR:** Total ED Visits

**NUMERATOR:** Ambulatory Care-Sensitive Condition ED Visits

**EXCLUSIONS:** Please see table below for details.

#### **MEASURE DETAILS:**

#### **CPT Codes:**

between 99281 and 99288 between G0378 and G0384 G0244

#### **Revenue Codes:**

between 450 and 459

#### Place of Service Code:

23 - Emergency Room - hospital

The table below is taken from the AHRQ website and lays out the Ambulatory Care-Sensitive conditions that were identified in the Billings algorithm and used in the following paper: "Using Administrative Data to Monitor Access, Identify Disparities, and Assess Performance of the Safety Net" in Billings J, Weinick R. Eds A Tool Kit for Monitoring the Local Safety Net. Agency for Health Care Research and Quality. July 2003. This algorithm is available in SAS, SPSS and MS Access formats.

#### **Ambulatory Care-Sensitive Conditions**

Source: http://archive.ahrq.gov/data/safetynet/billappb.htm

Appendix B. Ambulatory Care-Sensitive Conditions

List of ACS Condition and ICD-9-CM Code(s)

Where only three digits are listed, all diagnoses at the 4th and 5th digit should be included (e.g., asthma is listed as 493, but you should include 493.00, 493.01, 493.10, 493.11, etc.). Where only four digits are listed, all diagnoses at the 5th digit should also be included.

All diagnoses refer to principal diagnosis, unless otherwise specified (e.g., dehydration, iron deficiency, nutritional deficiency, etc.). Where exclusions of surgical patients are specified (e.g., hypertension), search all procedure fields for excluded procedures.

List of ACS Condition and ICD-9-CM Code(s)		
ACS Number	Ambulatory Care-Sensitive conditions and ICD-9 CM Code(s)	Comments
1	Congenital syphilis [090]	Secondary diagnosis for newborns only
2	Immunization-related and preventable conditions [033, 037, 045, 320.0, 390, 391]	Hemophilus meningitis [320.2] age 1-5 only
3	Grand mal status and other epileptic convulsions [345]	

List of ACS Condition and ICD-9-CM Code(s)		
ACS Number	Ambulatory Care-Sensitive conditions and ICD-9 CM Code(s)	Comments
4	Convulsions "A" [780.3]	Age 0-5
5	Convulsions "B" [780.3]	Age >5
6	Severe ear, nose, and throat infections [382, 462, 463, 465, 472.1]	Exclude otitis media cases [382] with myringotomy with insertion of tube [20.01]
7	Pulmonary tuberculosis [011]	
8	Other tuberculosis [012-018]	
9	Chronic obstructive pulmonary disease [491, 492, 494, 496, 466.0]	Acute bronchitis [466.0] only with secondary diagnosis of 491, 492, 494, 496
10	Bacterial pneumonia [481, 482.2, 482.3, 482.9, 483, 485, 486]	Exclude case with secondary diagnosis of sickle cell [282.6] and patients <2 months
11	Asthma [493]	
12	Congestive heart failure [428, 402.01, 402.11, 402.91, 518.4]	Exclude cases with the following surgical procedures: 36.01, 36.02, 36.05, 36.1, 37.5, or 37.7
13	Hypertension [401.0, 401.9, 402.00, 402.10, 402.90]	Exclude cases with the following procedures: 36.01, 36.02, 36.05, 36.1, 37.5, or 37.7
14	Angina [411.1, 411.8, 413]	Exclude cases with a surgical procedure [01-86.99]
15	Cellulitis [681, 682, 683, 686]	Exclude cases with a surgical procedure [01-86.99], except incision of skin and subcutaneous tissue [86.0] where it is the only listed surgical procedure
16	Skin grafts with cellulitis [DRG 263, DRG 264]	Exclude admissions from skilled nursing facility/intermediate care facility
17	Diabetes "A" [250.1, 250.2, 250.3]	
18	Diabetes "B" [250.8, 250.9]	
		<u> </u>

List of ACS Condition and ICD-9-CM Code(s)		
ACS Number	Ambulatory Care-Sensitive conditions and ICD-9 CM Code(s)	Comments
19	Diabetes "C" [250.0]	
20	Hypoglycemia [251.2]	
21	Gastroenteritis [558.9]	
22	Kidney/urinary infection [590, 599.0, 599.9]	
23	Dehydration - volume depletion [276.5]	Examine principal and secondary diagnoses separately
24	Iron deficiency anemia [280.1, 280.8, 280.9]	Age 0-5 only, and examine principal and secondary diagnoses separately
25	Failure to thrive [783.4]	Age <1 only
26	Pelvic inflammatory disease [614]	Women only denominator - exclude cases with a surgical procedure of hysterectomy [68.3-68.8]
27	Dental Conditions [521, 522, 523, 525, 528]	

### M&E-17: Generic Dispensing Rate

Programs Requiring Use of the Measure for 2014:
Commercial:X Medicaid:X Medicare:
Measure Type:
Claims: _X_ Clinical data: Survey: Other (specify):
Measure Purpose for 2014 (Commercial and Medicaid Only):
Monitoring: Evaluation:X
Level of Measurement for 2014:
ACO Level:X_ Plan Level: State Level:
<b>Name and date of specifications used:</b> OneCare Vermont Proposed Utilization Measure Specifications.
URL of Specifications: n/a
<b><u>DESCRIPTION:</u></b> The number of generic prescriptions compared to the overall number of prescriptions.
FREQUENCY OF REPORTING: Quarterly
<b>DENOMINATOR:</b> total prescriptions
NUMERATOR: generic prescriptions
<b>EXCLUSIONS:</b> remove prescriptions from denominator with Claim Dispense as Written Product Selection Code = 1 (Substitution not allowed by prescriber), 7 (Substitution not allowed – Brand drug mandated by law), 8 (Substitution allowed – Generic drug not available in marketplace)
MEASURE DETAILS: N/A

M&E-18: High-end Imaging/1000 Programs Requiring Use of the Measure for 2014: Commercial: \_\_X\_\_\_ Medicaid: \_\_X\_\_ Medicare: \_\_\_\_ Measure Type: Claims: \_\_X\_ Clinical data: \_\_\_ Survey: \_\_\_ Other (specify): \_\_\_ Measure Purpose for 2014 (Commercial and Medicaid Only): Monitoring: \_\_\_\_ Evaluation: \_\_X\_\_ Level of Measurement for 2014: ACO Level: \_\_X\_ Plan Level: \_\_\_ State Level: \_\_\_ Name and date of specifications used: OneCare Vermont Proposed Utilization Measure Specifications based on Code Sets Source: Milliman HCG Grouper 2013 version 3 **URL of Specifications:** n/a **DESCRIPTION:** The rate of high-end image visits (image visits / member months) \* 12000. Count multiple CPTs from an image type on same visit date as one image visit. **FREQUENCY OF REPORTING:** Quarterly **DENOMINATOR:** member months **NUMERATOR:** High-end imaging visits **EXCLUSIONS:** N/A **MEASURE DETAILS: CPT Codes:** Outpatient Radiology - High-end Imaging

between 70450 and 70498

between 71250 and 71275

between 72125 and 72133

between 72191 and 72194

72292

between 73200 and 73206

between 73700 and 73706

between 74150 and 74178

between 74261 and 74263

between 75571 and 75574

75635

between 76070 and 76071

between 76355 and 76370

76380

73497

between 77011 and 77014

between 77078 and 77079

G0288

between S8092 and S8093

#### **MRI**

70336

between 70540 and 70559

between 71550 and 71555

between 72141 and 72159

between 72195 and 72198

between 73218 and 73225

between 73718 and 73725

between 74181 and 74185

between 75552 and 75565

between 76093 and 76094

between 76390 and 76400

76498

between 77021 and 77022

between 77058 and 77059

77084

between S8035 and S8037

S8042

#### **PET**

78459

between 78491 and 78492

between 78608 and 78609

between 78810 and 78816 between G0030 and G0047 G0125 between G0210 and G0235 between G0252 and G0254 G0296 between G0330 and G0331 G0336 S8085

### M&E-19: (NCQA HEDIS) Inpatient Utilization - General Hospital/Acute Care

Programs Requiring Use of the Measure for 2014:
Commercial:X Medicaid:X Medicare:
Measure Type:
Claims:X Clinical data: Survey: Other (specify):
Measure Purpose for 2014 (Commercial and Medicaid Only):
Monitoring: Evaluation:X
Level of Measurement for 2014:
ACO Level:X_ Plan Level: State Level:
Name and date of specifications used: HEDIS® 2015 Technical Specifications for Health Plans (Volume 2)
URL of Specifications: n/a
<b><u>DESCRIPTION:</u></b> The rate of General Hospital/Acute Care inpatient discharges (discharges/member months) * 12000.
FREQUENCY OF REPORTING: Quarterly
<b>DENOMINATOR:</b> member months
<b>NUMERATOR:</b> acute inpatient stays with a discharge date during the measurement period
<b>EXCLUSIONS:</b> Exclude discharges with a principal diagnosis of mental health or chemical dependency (Mental and Behavioral Disorders Value Set), a principal diagnosis of live-born infant (Deliveries Infant Record Value Set) or an MS-DRG for mental health, chemical dependency or rehabilitation (IPU Exclusions MS-DRG Value Set).

**MEASURE DETAILS:** N/A

#### M&E-20: Primary Care Visits/1000

Wice-20. Tilliary Care Visits/1000
Programs Requiring Use of the Measure for 2014:
Commercial:X Medicaid:X Medicare:
Measure Type:
Claims:X_ Clinical data: Survey: Other (specify):
Measure Purpose for 2014 (Commercial and Medicaid Only):
Monitoring: Evaluation:X
Level of Measurement for 2014:
ACO Level:X_ Plan Level: State Level:
Name and date of specifications used: OneCare Vermont Proposed Utilization Measure Specifications
URL of Specifications: n/a
<b><u>DESCRIPTION:</u></b> The rate of primary care visits (unique visits/ member months) * 12000. Roll up multiple visits on the same day to count as one visit.
FREQUENCY OF REPORTING: Quarterly
<b>DENOMINATOR:</b> member months
<b>NUMERATOR:</b> unique visits with primary care
EXCLUSIONS: N/A
MEASURE DETAILS: CPT Codes:
between 99201 and 99205
between 99211 and 99215
between 99241 and 99245
between 99304 and 99310
hetween 99315 and 99316

99318

between 99324 and 99328

between 99334 and 99337

between 99339 and 99345

between 99347 and 99350

between 99354 and 99355

between 99358 and 99359

between 99381 and 99387

between 99391 and 99397

between 99401 and 99404

between 99406 and 99409

between 99411 and 99412

99420

99429

between 99460 and 99465

G0402

G0404

G0438

G0439

#### **Revenue Codes:**

521

522

523

524

525

#### **Place of Service Codes:**

- 11 Office
- 50 Federally Qualified Health Center
- 72 Rural Health Clinic

#### M&E-21: Skilled Nursing Facility (SNF) Days /1000

8 3 7 7 7 1
Programs Requiring Use of the Measure for 2014:
Commercial:X Medicaid:X Medicare:
Measure Type:
Claims:X Clinical data: Survey: Other (specify):
Measure Purpose for 2014 (Commercial and Medicaid Only):
Monitoring: Evaluation:X
Level of Measurement for 2014:
ACO Level:X_ Plan Level: State Level:
Name and date of specifications used: OneCare Vermont Proposed Utilization Measure Specifications.
URL of Specifications: n/a
<b><u>DESCRIPTION:</u></b> The rate of days spent in skilled nursing facilities (SNF days/ member months) * 12000. Include all claims within the stay as one SNF stay then calculate patient days from admission through discharge.
FREQUENCY OF REPORTING: Quarterly
<b>DENOMINATOR:</b> member months
<b>NUMERATOR:</b> days spent in a skilled nursing facility
EXCLUSIONS: N/A
MEASURE DETAILS: Place of Service Codes: 31 - Skilled Nursing Facility Medicare or Medicaid Claim Type Code = 20 or 30

M&E-22: Specialty Visits /1000
Programs Requiring Use of the Measure for 2014:
Commercial:X Medicaid:X Medicare:
Measure Type:
Claims:X Clinical data: Survey: Other (specify):
Measure Purpose for 2014 (Commercial and Medicaid Only):
Monitoring: Evaluation:X
Level of Measurement for 2014:
ACO Level:X_ Plan Level: State Level:
Name and date of specifications used: OneCare Vermont Proposed Utilization Measure Specifications.
URL of Specifications: n/a
<b><u>DESCRIPTION:</u></b> The rate of specialty visits (unique specialty visits/ member months) * 12000. Include all providers not included in PCP provider type.
FREQUENCY OF REPORTING: Quarterly
<b>DENOMINATOR:</b> member months
NUMERATOR: unique specialty visits
EXCLUSIONS: N/A
MEASURE DETAILS: CPT Codes:
between 99201 and 99205
between 99211 and 99215
between 99241 and 99245
between 99304 and 99310
between 99315 and 99316

99318

between 99324 and 99328

between 99334 and 99337

between 99339 and 99345

between 99347 and 99350

between 99354 and 99355

between 99358 and 99359

between 99381 and 99387

between 99391 and 99397

between 99401 and 99404

between 99406 and 99409

between 99411 and 99412

99420

99429

between 99460 and 99465

G0402

G0404

G0438

G0439

#### **Revenue Codes:**

521

522

523

524

525

#### **Place of Service Codes:**

- 11 Office
- 50 Federally Qualified Health Center
- 72 Rural Health Clinic

### M&E-23 (NCQA HEDIS; NQF #1388): Annual Dental Visit/1000

Programs Requiring Use of the Measure for 2014:
Commercial: Medicaid:X Medicare:
Measure Type:
Claims:X_ Clinical data: Survey: Other (specify):
Measure Purpose for 2014 (Commercial and Medicaid Only):
Monitoring: Evaluation:X
Level of Measurement for 2014:
ACO Level:X_ Plan Level: State Level:
Name and date of specifications used: HEDIS® 2015 Technical Specifications for Health Plans (Volume 2).
URL of Specifications: N/A
<b><u>DESCRIPTION:</u></b> Percentage of patients 2-21 years of age who had at least one dental visit during the measurement year.
FREQUENCY OF REPORTING: Quarterly
<b>DENOMINATOR:</b> Patients 2-21 years of age as of the end of the measurement year (e.g., December 31)
<u>NUMERATOR:</u> Patients who had one or more dental visits with a dental practitioner during the measurement year.
EXCLUSIONS: N/A
MEASURE DETAILS:
CPT Codes: 70300 70310 70320

70350 70355

#### **HCPCS Codes:**

Between D0120 and D9999